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August 28, 2006

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Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Art Unit 1614

Attn: Mail Stop Amendment

Re:

U.S. Utility Patent Application

Application No. 10/826,909; Filed: April 19, 2004

For: Methods of Treating Diseases Responsive to Induction of Apoptosis

and Screening Assays

Inventors:

Kasibhatla et al.

Our Ref:

1735.0840002/RWE/DJN

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Second Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(b);
- 2. A cited document on Form PTO/SB/08A (1 sheet);
- 3. A listing of the cited documents on Form PTO/SB/08B (1 sheet);
- 4. A copy of the cited documents NPL17, NPL18, NPL19 and NPL20; and
- 5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents August 28, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

for Robert W. Esmond Reg No. 38,759

Attorney for Applicants Registration No. 32,893

RWE/DJN/jk Enclosures

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Kasibhatla et al.

Appl. No.: 10/826,909

Filed: April 19, 2004

For: Methods of Treating Diseases
Responsive to Induction of
Apoptosis and Screening Assays

Confirmation No.: 1721

Art Unit: 1614

Examiner: To be assigned

Atty. Docket: 1735.0840002/RWE/DJN

## Second Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on July 21, 2005 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may

not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action. No statement or fee is required. Copies of documents NPL17-NPL20 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patent cited on the attached IDS Form is submitted.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Som m Court

Attorney for Applicants Registration No. 32,893

Date: August 28, 2006 1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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SUSSIDATE form 1449/PTO				Complete if Known		
				Application Number	10/826,909	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	April 19, 2004	
				First Named Inventor	Kasibhatla, S.	
				Art Unit	1614	
			ets as necessary)	Examiner Name	To be assigned	
Sheet	1	of	1	Attorney Docket Number	1735.0840002/RWE/DJN	

			U.S. PATENT DO	DCUMENTS		
Examiner Cit Initials No	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines,	
	No.	Number-Kind Code <sup>2 (If Known)</sup>		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (07-05)

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Undo the Paperwork Reduction Act of 1995, no persons ar				Con	Complete if Known		
SECOND SUPPLEMENTAL			DNT A I	Application Number	10/826,909		
				Filing Date	April 19, 2004		
	INFORMATION DISCLOSURE			First Named Inventor	Kasibhatla, S.		
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	1614				
		Examiner Name	To be assigned				
Sheet	1	of	1	Attorney Docket Number	1735.0840002/RWE/DJN		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL17	ZHANG et al., "Discovery, characterization and SAR of gambogic acid as a potent apoptosis inducer by a HTS assay," Bioorganic & Medicinal Chemistry, 12:309-317, Elsevier Science, The Netherlands (January, 2004)	
	NPL18	KASIBHATLA et al., "A role for transferrin receptor in triggering apoptosis when targeted with gambogic acid," Proc Natl Acad Sci U S A, 102:12095-12100, The National Academy of Sciences, Washington D.C. (August, 2005)	
	NPL19	JESSEN et al., "The discovery and mechanism of action of novel tumor-selective and apoptosis-inducing 3,5-diaryl-1,2,4-oxadiazole series using a chemical genetics approach," Molecular Cancer Therapeutics, 4:761-771, American Association for Cancer Research, Inc., Philadelphia, PA (May, 2005)	
•	NPL20	International Search Report and Written Opinion, International Application No. PCT/US04/11915, mailed June 27, 2006	
	NPL21		
	NPL22		
•	NPL23		
	NPL24		
	NPL25		
	NPL26		
Examiner	1	Date	
Signature		Considered	

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.